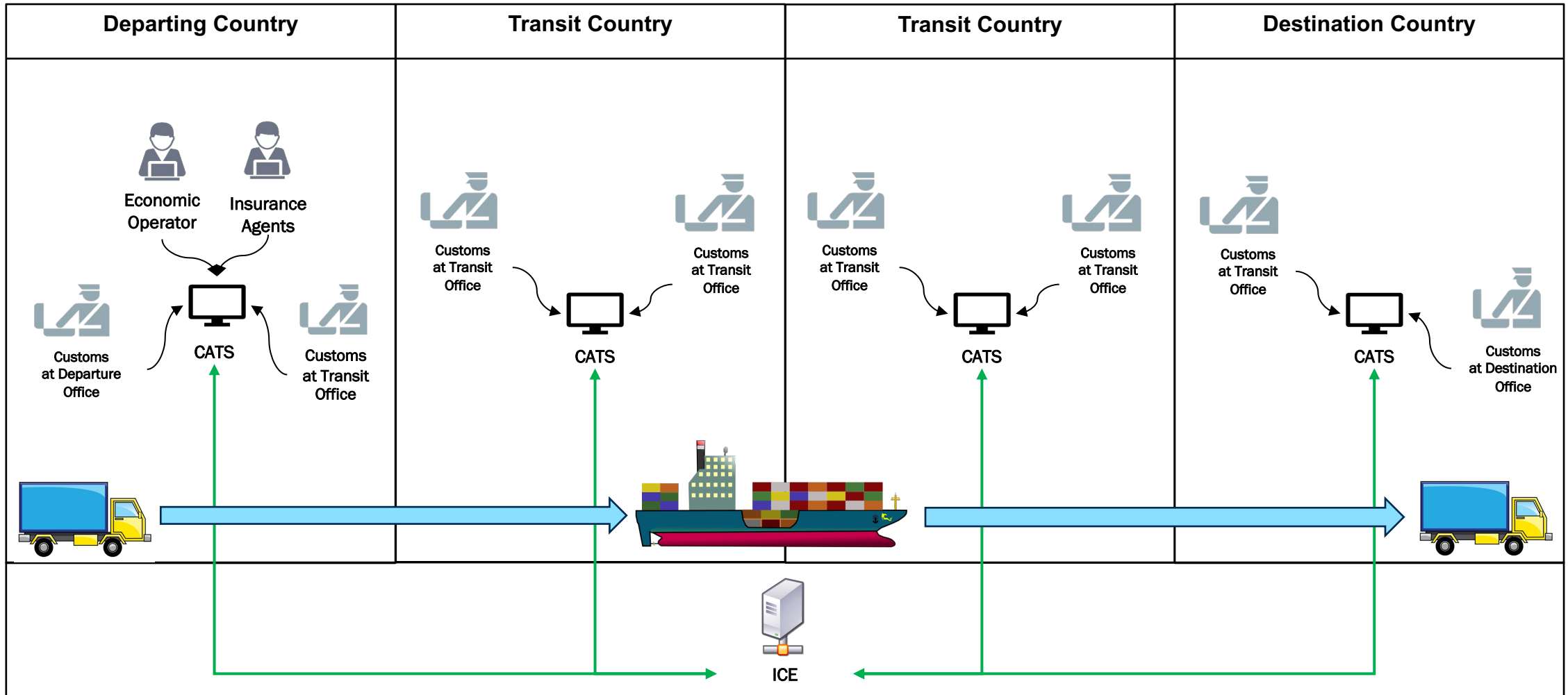


Information Common Exchange Components / Pilot Illustration



Summary of UAT Results

#	Scenarios	Total Testcases	Execution by UAT		Total Test Steps	Execution by UAT		
			Passed	Failed		Passed	Failed	Missed
1	Approval of Account Scenarios	8	8	0	36	36	0	0
2	Guarantee Approval Scenarios	4	4	0	28	28	0	0
3	CATS Transit Declaration Scenarios	8	8	0	411	402	0	9
Total:		20	20 (100%)	0 (0%)	475	466 (98.10%)	0 (0%)	9 (1.90%)

Summary:

1. 20/20 (100%) of the Testcases have passed.
2. 466/475 (98.10%) Test Steps passed.

Summary of Approval of Account Scenarios

Approval of Account Scenario - 8 testcases

- Each country must review and approve a newly registered Operator account
- Each country must review and approve a newly registered Guarantor account

Approval of Account Scenarios - Approving Operator and Guarantor									
Scenarios	Pre-condition	Test Case Description	Test Steps by the Involved Countries	Expected Result	Execution Status	Tested by	Date Tested	Remarks / Notes	
Scenario AA-AZ-01: Approving an Azerbaijan Operator account	1. All CATS and ICE portals are available, and Officers accounts have been allocated. 2. There is an existing registration request made by an Azerbaijan Operator from "ADB AZ Operator 02"	> Review the Application and Approve the Account by the Customs Administrator in Azerbaijan	Customs Administrator for Azerbaijan						
			1	Login as Azerbaijan Customs Administrator	Successfully logged in	Passed	Rufat Nazarov	1/4/24	
			2	Click "Account Pending Approval" under "Administration".	Successfully navigated to the Account Pending Approval List	Passed	Rufat Nazarov	1/4/24	
			3	Search and select the registration application made by "ADB AZ Operator 02"	Successfully opened up the detail of the Registration Application	Passed	Rufat Nazarov	1/4/24	
			4	Confirm the Information of the application and select "Approved CATS Operators" as its Sub-Type and "50%" for its Preference Percentage	Successfully confirmed up the detail of the Registration Application	Passed	Rufat Nazarov	1/4/24	1. There is a bug in the "Reference Percentage" dropdown box. In some cases the drop down menu not opening. 2. Also there is no any remark regarding how to fill "Account Reg Id". We did it by ourselves.
5	Approve the registration application made by the Operator	Successfully approved the Operator	Passed	Rufat Nazarov	1/4/24				
Scenario AA-AZ-02: Approving an Azerbaijan Guarantor account	1. All CATS and ICE portals are available, and Officers accounts have been allocated. 2. There is an existing registration request made by an Azerbaijan Guarantor from "ADB AZ Guarantor 02"	> Review the Application and Approve the Account by the Customs Administrator in Azerbaijan	Customs Administrator for Azerbaijan						
			1	Login as Azerbaijan Customs Administrator	Successfully logged in	Passed	Rufat Nazarov	1/4/24	
			2	Click "Account Pending Approval" under "Administration".	Successfully navigated to the Account Pending Approval List	Passed	Rufat Nazarov	1/4/24	
			3	Search and select the registration application made by "ADB AZ Guarantor 02"	Successfully opened up the detail of the Registration Application	Passed	Rufat Nazarov	1/4/24	
4	Confirm the Information of the application and approve the application made by the Guarantor	Successfully approved the Operator	Passed	Rufat Nazarov	1/4/24	1. The same problem with the "Account Reg Id".			

Summary of Guarantee Approval Scenario

Guarantee Approval Scenario - 4 testcases

- Each country must review and approve a Guarantee application submitted by the Guarantor

Guarantee Application Scenario									
Scenario	Pre-condition	Test Case Description	Test Steps by the Involved Countries	Expected Result	Execution Status	Tested by	Date Tested	Remarks / Notes	
Scenario GU-GE-01: Approving a Guarantee application made by Guarantor Guarantee covering all 4 test countries.	1. All CATS and ICE portals are available, and Officers accounts have been allocated. 2. There is an existing Georgian Insurer account, "ADB GE Guarantor 01" 3. There is an existing Guarantee application submitted with the Contract number of "ADBGuaranteeGE001" between Azerbaijan, Georgia, Turkmenistan and Uzbekistan. 4. There are sub-guarantors in each of the countries who have already been approved.	> Review and Approve the Guarantee Contract by the Tbilisi Customs Office in Georgia.	Customs Office of Tbilisi						
			1	Login as Tbilisi Customs Approver	Successfully logged in	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	
			2	Click "Guarantee" under "Documents".	Successfully navigated to the Guarantee List	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	
			3	Search and select the Guarantee with "ADBGuaranteeGE001" as its reference number	Successfully opened up the detail of the Guarantee	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	
			4	Confirm the Information of the Gurantee Contract in all Tabs - "Header", "CATS Operator", and "Supporting Documents".	Successfully confirmed the detail of the Guarantee	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	
			5	On the "Contract" Tab, fill in the Contract Information with the following: 1. Contract No: ADBGuaranteeGE001 2. Contract Date: 1 April 2024 3. Policy Start Date: 1 April 2024 4. Policy End Date: 1 April 2025 5. Single Use: No 6. Guaranteed Amount: 250,000 USD	Successfully inserted the details	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	
			6	Perform customs control activities on the "Customs Control" tab, fill in your remarks for approval and select "GA01 - Comprehensive Guarantee" for Result Code field.	Successfully inserted the details	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	
			7	Approve the Guarantee Contract	Successfully approved the Guarantee	Passed	Mikehil Peikrishvili User: CUSTGE04	4/1/24	

Information Common Exchange Components/ Pilot Illustration

No.	Pilot Scenarios	Remark
1.	Intra-CAREC Movement	Cargo movement within the CAREC member states only. Must pass through at least 1 transit country.
2.	Import into the CAREC	Cargo movement from outside CAREC into the region. For example China, Iran, Russia or the EU to CAREC member states across at least 1 transit country.
3.	Export from the CAREC	Cargo movement from CAREC member states out to China, Turkey, Iran or the EU. Must pass through at least 1 transit country.
4.	Transit through the CAREC	Cargo movement from outside CAREC to another country outside of CAREC. For example China to the EU, Turkey or Iran.



Summary of CATS Transit Declaration Scenario

CATS Transit Declaration Scenario - 8 testcases

- Each country must create and submit a CATS Transit Declaration as an Operator once.
- Each country experienced 4 different trade scenarios.
- The testcases replicate real-world cargo movement scenarios, it requires the participation of multiple countries' representative to complete it by approving the transit declaration in the offices of Departure, Transit and Release.

CTD Scenario 3 - Exporting Out of the Region for Glass Bottle from Turkmenistan to Romania (European Union)												
Scenario	Pre-condition	Test Case Description	Test Steps by the Involved Countries	Expected Result	Execution Status	Tested by	Date Tested	Remarks / Notes				
Scenario CTD-3: Export out of the region of Glass Bottle from Turkmenistan to Romania (European Union) , through Azerbaijan to Georgia Transit Movement: 1. Office of Departure - Ashgabat (TM) 2. Office of Transit - Turkmenbashi (TM) 3. Office of Transit - Baku (AZ) 4. Office of Transit - Red Bridge (AZ) 5. Office of Transit - Red Bridge (GE) 6. Office of Release - Poti Port (GE) Country of Origin: Turkmenistan Final Destination: Romania	1. All CATS and ICE portals are available, and Officers accounts have been allocated. 2. There is an existing Turkmenistan Approved CATS Operator (ACO) with 50% Reference Percentage. 3. There is an existing approved Comprehensive Guarantee with the Contract number of "ADBGuaranteeTM001" between Azerbaijan, Georgia, Turkmenistan, and Uzbekistan. 4. There is an existing CTD with reference number of "CATS/ICE Test - Scenario 3" created by the Turkmenistan ACO.	> Review the CTD and Approve for Departure by the by the Farap Customs Office in Turkmenistan > Review the CTD, Approve for Transit and Change Mode of Transport to Sea by the Turkmenbashi Customs Office in Turkmenistan > Review the CTD, Approve for Transit and Change Mode of Transport to Road by the Baku Customs Office in Azerbaijan > Review the CTD and Approve for Transit by the Red Bridge Customs Office in Azerbaijan > Review the CTD and Approve for Transit by the Red Bridge Customs	Approved CATS Operator			Passed	David	1/4/24	No comment			
			1	Login as an Operator (ADB TM Operator 01)	Successfully logged in							
			2	Create and submit a CTD application following the Word Document	Successfully submitted the CTD application	Office of Departure (Turkmenistan) - Customs Office of Ashgabat			Passed	David	1/4/24	No comment
			3	Login as Ashgabat Customs Approver	Successfully logged in							
			4	Click "CATS Transit Declaration" under "Documents".	Successfully navigated to the CTD List							
			5	Search and select the CTD with "CATS/ICE Test - Scenario 3" as its reference number	Successfully opened up the detail of the CTD							
			6	confirm the Information of the CTD in all Tabs - "Header", "CATS Operator", "Transport", "Item", "Guarantee" and "Supporting Documents"	Successfully confirmed the detail of the CTD							
			7	In the Customs Control Tab, add the remark of "Seal Checked and No Irregularities" in "Other Details" field	Successfully performed Customs Activity							
8	Approve the CTD for Departure under the "Customs Control" Tab, without changing any vehicle/vessel, seal, and container information.	Successfully approved the CTD for departure.										

Summary of Transit Declaration Scenarios

Scenario / Сценарии	Trade Regime / Торговый режим	Item / Наименование товара	Country of Origin / Страна происхождения	Country of Departure / Страна отправления		Country of Transit / Страна транзита		Country of Transit / Release Страна транзита / выпуска		Country of Release / Страна выпуска		Country of Destination / Страна назначения
				BCP #1 / ПП 1 (выезд)	BCP #2 / ПП 2 (выезд)	BCP #1 / ПП 1 (выезд)	BCP #2 / ПП 2 (выезд)	BCP #1 / ПП 1 (выезд)	BCP #2 / ПП 2 (выезд)	BCP #1 / ПП 1 (выезд)	BCP #2 / ПП 2 (выезд)	
CTD-1	Intra-trade / Внутри-региональная торговля	Cashew Nuts / Орехи кешью	Georgia / Грузия	GE - Tbilisi / Тбилиси	GE - Red Bridge / Красный мост	AZ - Red Bridge / Красный мост	AZ - Baku / Баку	TM - Turkmenbashi / Туркменбаши	TM - Farap / Фарап	UZ - Alat / Алят	UZ - Tashkent / Ташкент	Uzbekistan / Узбекистан
CTD-2	Intra-trade / Внутри-региональная торговля	Dried Fruits and Nuts / Сухофрукты и орехи	Uzbekistan / Узбекистан	UZ - Tashkent / Ташкент	UZ - Alat / Алят	TM - Farap / Фарап	TM - Turkmenbashi / Туркменбаши	AZ - Baku / Баку	AZ - Red Bridge / Красный мост	GE - Red Bridge / Красный мост	GE - Tbilisi / Тбилиси	Georgia / Грузия
CTD-3	Export out of the region / Экспорт из региона	Glass Bottle / Стекланные бутылки	Turkmenistan / Туркменистан	TM - Ashgabat / Ашхабад	TM - Turkmenbashi / Туркменбаши	AZ - Baku / Баку	AZ - Red Bridge / Красный мост	GE - Red Bridge / Красный мост	GE - Poti Port / Порт Потти			Romania / Румыния
CTD-4	Transiting through the region / Транзит через регион	Toys / Игрушки	China / Китай	UZ - Kara-Suu / Кара-Суу	UZ - Alat / Алят	TM - Farap / Фарап	TM - Turkmenbashi / Туркменбаши	AZ - Baku / Баку	AZ - Red Bridge / Красный мост	GE - Red Bridge / Красный мост	GE - Poti Port / Порт Потти	Romania / Румыния
CTD-5	Import into the Region / Импорт в регион	Raw Chemical Material / Химическое сырье	China / Китай	UZ - Kara-Suu / Кара-Суу	UZ - Alat / Алят	TM - Farap / Фарап	TM - Turkmenbashi / Туркменбаши	AZ - Baku / Баку				Azerbaijan / Азербайджан
CTD-6	Export out of the region / Экспорт из региона	Dried Fruits and Nuts / Сухофрукты и орехи	Azerbaijan / Азербайджан	AZ - Red Bridge / Красный мост	AZ - Baku / Баку	TM - Turkmenbashi / Туркменбаши	TM - Farap / Фарап	UZ - Alat / Алят	UZ - Kara-Suu / Кара-Суу			China / Китай
CTD-7	Transiting through the region / Транзит через регион	Dried Fruits and Nuts / Сухофрукты и орехи	Iran / Иран	TM - Bajgiran / Баджигиран	TM - Turkmenbashi / Туркменбаши	AZ - Baku / Баку	AZ - Red Bridge / Красный мост	GE - Red Bridge / Красный мост	GE - Poti Port / Порт Потти			Azerbaijan / Азербайджан
CTD-8	Import into the Region / Импорт в регион	Sport Equipment / Спортивное оборудование	Romania / Румыния	GE - Poti Port / Порт Потти	GE - Red Bridge / Красный мост	AZ - Red Bridge / Красный мост	AZ - Baku / Баку	TM - Turkmenbashi / Туркменбаши	TM - Ashgabat / Ашхабад			Turkmenistan / Туркменистан

Scenarios Completed in Day 1 (1st April)

Country / Страна	Scenarios to Test / Сценарии для тестирования		
	Approval of Accounts / Утверждение учетных записей	Guarantee Application / Заявка на получение гарантии	Transit Declaration / Транзитная декларация
Azerbaijan / Азербайджан	1. Scenario / Сценарий AA-AZ-01 (Done / Сделано) 2. Scenario / Сценарий AA-AZ-02 (Done / Сделано)	1. Scenario / Сценарий GU-AZ-01 (Done / Сделано)	1. Scenario / Сценарий CTD-6 (Step 1 to Step 16) (Done / Сделано)
Georgia / Грузия	1. Scenario / Сценарий AA-GE-01 (Done / Сделано) 2. Scenario / Сценарий AA-GE-02 (Done / Сделано)	1. Scenario / Сценарий GU-GE-01 (Done / Сделано)	1. Scenario / Сценарий CTD-1 (Step 1 to Step 14) (Done / Сделано)
Turkmenistan / Туркменистан	1. Scenario / Сценарий AA-TM-01 (Done / Сделано) 2. Scenario / Сценарий AA-TM-02 (Done / Сделано)	1. Scenario / Сценарий GU-TM-01 (Done / Сделано)	1. Scenario / Сценарий CTD-3 (Step 1 to Step 14) (Done / Сделано)
Uzbekistan / Узбекистан	1. Scenario / Сценарий AA-UZ-01 (Done / Сделано) 2. Scenario / Сценарий AA-UZ-02 (Done / Сделано)	1. Scenario / Сценарий GU-UZ-01 (Done / Сделано)	1. Scenario / Сценарий CTD-2 (Step 1 to Step 16) (Done / Сделано)

Scenarios Completed in Day 2 (2nd April)

Country / Страна	Scenarios to Test / Сценарии для тестирования
	Transit Declaration / Транзитная декларация
Azerbaijan / Азербайджан	1. Scenario / Сценарий CTD-1 (Step 15 to Step 28) / (Шаг 15 – Шаг 28) (Done / Сделано) 2. Scenario / Сценарий CTD-3 (Step 17 to Step 30) / (Шаг 17 – Шаг 30) (Done / Сделано)
Georgia / Грузия	1. Scenario / Сценарий CTD-8 (Step 1 to Step 12) / (Шаг 1 – Шаг 12) (Done / Сделано)
Turkmenistan / Туркменистан	1. Scenario / Сценарий CTD-2 (Step 15 to Step 28) / (Шаг 15 – Шаг 28) (Done / Сделано) 2. Scenario / Сценарий CTD-6 (Step 17 to Step 30) / (Шаг 17 – Шаг 30) (Done / Сделано) 3. Scenario / Сценарий CTD-7 (Step 1 to Step 14) / (Шаг 1 – Шаг 14) (Done / Сделано) 4. Scenario / Сценарий CTD-4 (Step 1 to Step 12) / (Шаг 1 – Шаг 12) (Done / Сделано)
Uzbekistan / Узбекистан	1. Scenario / Сценарий CTD-5 (Step 1 to Step 12) / (Шаг 1 – Шаг 12) (Done / Сделано) 2. Scenario / Сценарий CTD-4 (Step 1 to Step 12) / (Шаг 1 – Шаг 12) (Done / Сделано)

Scenarios Completed in Day 3 (3rd April)

Country / Страна	Scenarios to Test / Сценарии для тестирования
	Transit Declaration / Транзитная декларация
Azerbaijan / Азербайджан	<ol style="list-style-type: none"> 1. Scenario / Сценарий CTD-2 (Step 29 to Step 42) / (Шаг 29 – Шаг 42) Done / Сделано 2. Scenario / Сценарий CTD-4 (Step 27 to Step 40) / (Шаг 27 – Шаг 40) Done / Сделано 3. Scenario / Сценарий CTD-7 (Step 15 to Step 28) / (Шаг 15 – Шаг 28) Done / Сделано 4. Scenario / Сценарий CTD-8 (Step 13 to Step 26) / (Шаг 13 – Шаг 26) Done / Сделано
Georgia / Грузия	<ol style="list-style-type: none"> 1. Scenario / Сценарий CTD-3 (Step 31 to Step 42) / (Шаг 31 – Шаг 42) Done / Сделано
Turkmenistan / Туркменистан	<ol style="list-style-type: none"> 1. Scenario / Сценарий CTD-1 (Step 29 to Step 42) / (Шаг 29 – Шаг 42) Done / Сделано 2. Scenario / Сценарий CTD-5 (Step 13 to Step 25) / (Шаг 13 – Шаг 25) Done / Сделано
Uzbekistan / Узбекистан	<ol style="list-style-type: none"> 1. Scenario / Сценарий CTD-6 (Step 31 to Step 42) / (Шаг 31 – Шаг 42) Done / Сделано

Scenarios Completed in Day 4 (4th April)

Country / Страна	Scenarios to Test / Сценарии для тестирования
	Transit Declaration / Транзитная декларация
Azerbaijan / Азербайджан	1. Scenario / Сценарий CTD-5 (Step 26 to Step 31) / (Шаг 26 – Шаг 31) (Done / Сделано) 2. Scenario / Сценарий CTD-6 (Step 43 to Step 49) / (Шаг 43 – Шаг 49) (Done / Сделано)
Georgia / Грузия	1. Scenario / Сценарий CTD-2 (Step 43 to Step 54) / (Шаг 43 – Шаг 54) (Done / Сделано) 2. Scenario / Сценарий CTD-4 (Step 41 to Step 52) / (Шаг 41 – Шаг 52) (Done / Сделано) 3. Scenario / Сценарий CTD-7 (Step 35 to Step 40) / (Шаг 35 – Шаг 40) (Done / Сделано)
Turkmenistan / Туркменистан	1. Scenario / Сценарий CTD-3 (Step 43 to Step 49) / (Шаг 43 – Шаг 49) (Done / Сделано) 2. Scenario / Сценарий CTD-8 (Step 27 to Step 40) / (Шаг 27 – Шаг 40) (Done / Сделано)
Uzbekistan / Узбекистан	1. Scenario / Сценарий CTD-1 (Step 43 to Step 54) / (Шаг 43 – Шаг 54) (Done / Сделано)

Scenarios Completed in Day 5 (5th April)

Country / Страна	Scenarios to Test / Сценарии для тестирования
	Transit Declaration / Транзитная декларация
Azerbaijan / Азербайджан	-
Georgia / Грузия	1. Scenario / Сценарий CTD-1 (Step 55 to Step 61) / (Шаг 55 – Шаг 61) (Done / Сделано) 2. Scenario / Сценарий CTD-8 (Step 41 to Step 47) / (Шаг 41 – Шаг 47) (Done / Сделано)
Turkmenistan / Туркменистан	1. Scenario / Сценарий CTD-7 (Step 41 to Step 47) / (Шаг 41 – Шаг 47) (Done / Сделано)
Uzbekistan / Узбекистан	1. Scenario / Сценарий CTD-2 (Step 55 to Step 61) / (Шаг 55 – Шаг 61) (Done / Сделано) 2. Scenario / Сценарий CTD-4 (Step 53 to Step 59) / (Шаг 53 – Шаг 59) (Done / Сделано) 3. Scenario / Сценарий CTD-5 (Step 32 to Step 38) / (Шаг 32 – Шаг 38) (Done / Сделано)

Feedback from Participants

1. Understood the concept of CATS/ICE system on:

1. The administrative approval processes of Operator and Guarantor accounts
2. The approval of Guarantee applications
3. The processes in Approving the CATS Transit Declarations
4. How to enter customs control check information

2. Feedback and Suggestions:

1. The User Interface on Guarantee Balance and Accounts Approval
2. The Data shown in Transit Declaration
3. Comments on the UAT documents themselves were noted in the Remarks/Note column of the Excel File.

Recommendations

1. Moving forward:

1. Our objective is to gather conclusive feedback from all participating countries on how to enhance the CATS/ICE prototype.
2. Intention is to develop a new CATS/ICE Technical specification taking into consideration any change requests from participants.

2. Determine the Parallel Run (Shadow transit movements) date:

1. To proceed, we kindly ask each country to furnish the Tariff Rates for 6-digit and 8-digit HS codes



Thank You & Questions?