



China Customs Risk Management



May 19th, 2010



China Customs Risk Management



- 1 Background
- 2 Organizational Structure
- 3 Operational Model
- 4 Application System
- 5 International Exchanges



I . Background





I . Background





I . Background



Reality

外部形势

Internal Demand

**Conflict between rapidly increased business
volume and inadequate monitoring
resources**



I . Background



Business Characteristics of Shanghai Customs

- Comprehensive
- Multiple working points
along long working lines
- Huge business volume



I. Background



Business Characteristics of Shanghai Customs





I . Background



Business Characteristics of Shanghai Customs





I . Background



Business Characteristics of Shanghai Customs





I . Background



Business Characteristics of Shanghai Customs





China Customs Risk Management



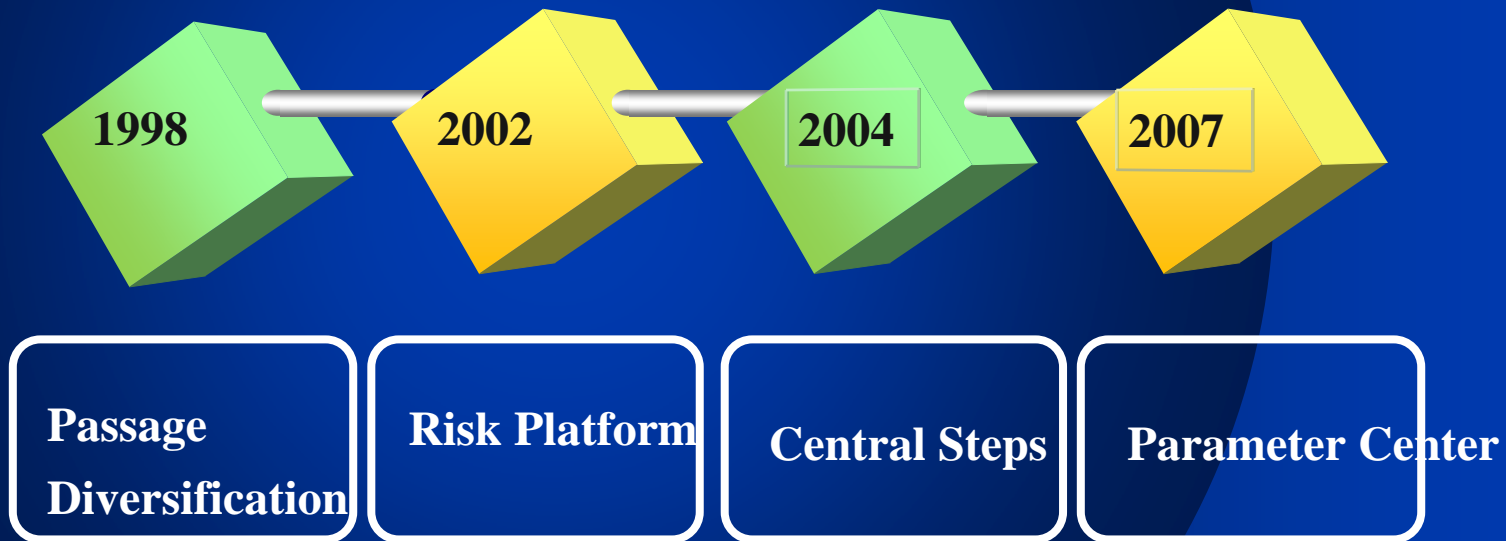
- 1 Background
- 2 Organizational Structure
- 3 Operational Model
- 4 Application System
- 5 International Exchanges



II. Organizational Structure



Development of Risk Management





II. Organizational Structure



Inspection Department of GAC

Subcenter of Risk Parameter Maintenance and Management
(Qingdao, Shanghai, Huangpu)

Risk Management Department of Regional Customs

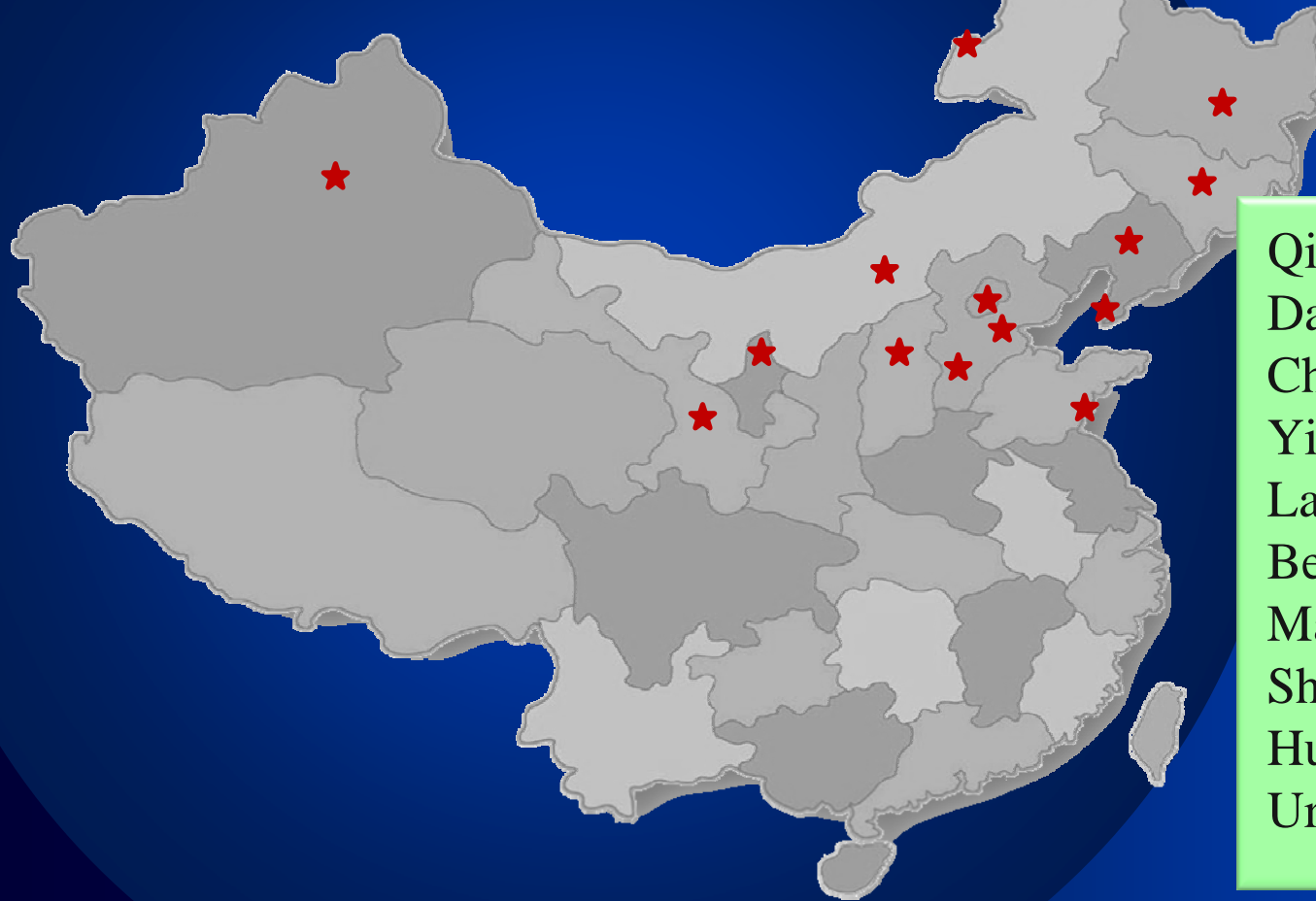
Risk Management Positions of Local Customs



II. Organizational Structure



Jurisdiction of Qingdao Parameter Subcenter



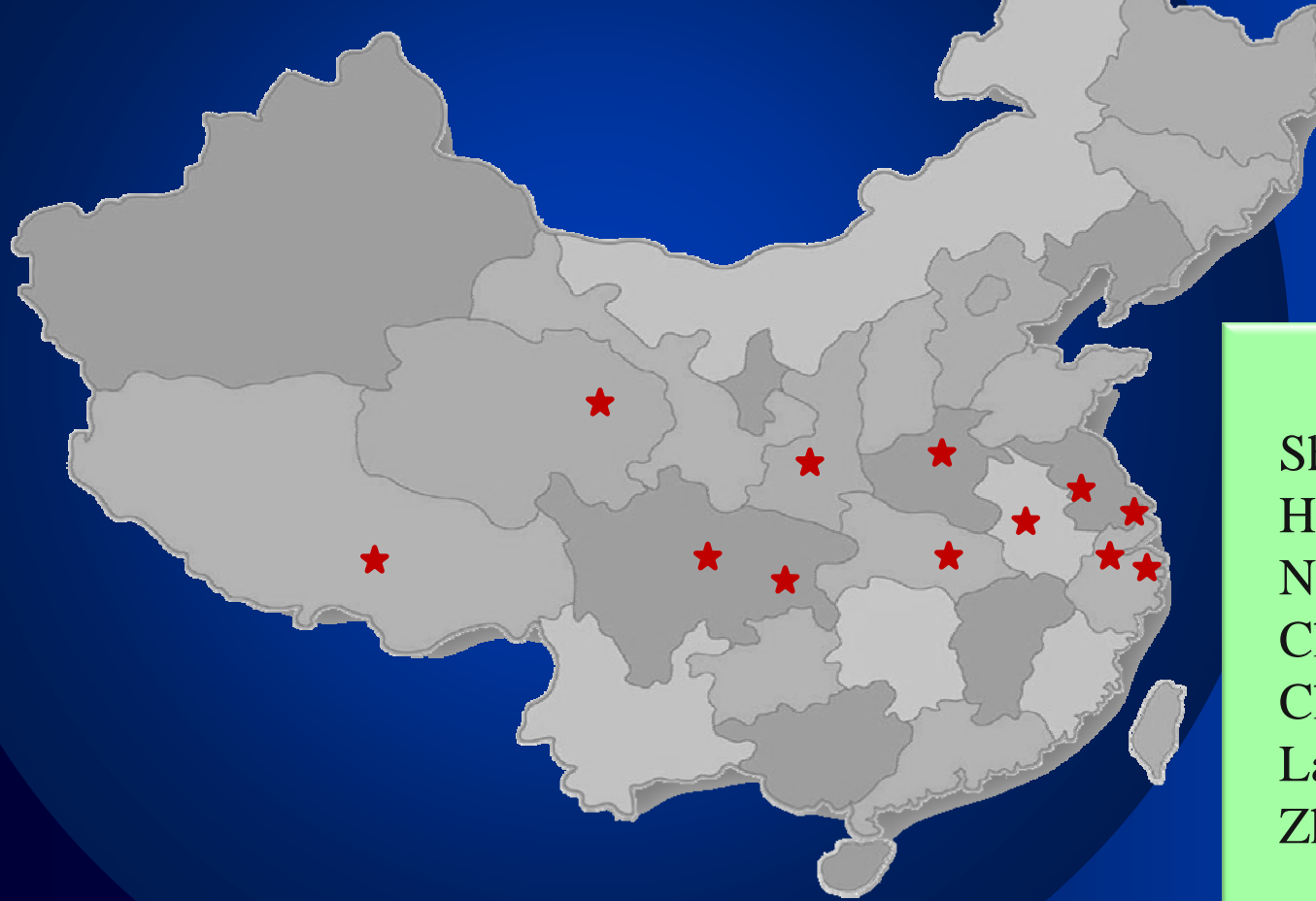
Qingdao, Tianjin,
Dalian, Shenyang,
Changchun,
Yinchuan,
Lanzhou, Taiyuan,
Beijing, Harbin,
Manchuria ,
Shijiazhuang.
Huhhot.
Urumuqi



II. Organizational Structure



Jurisdiction of Shanghai Parameter Subcenter



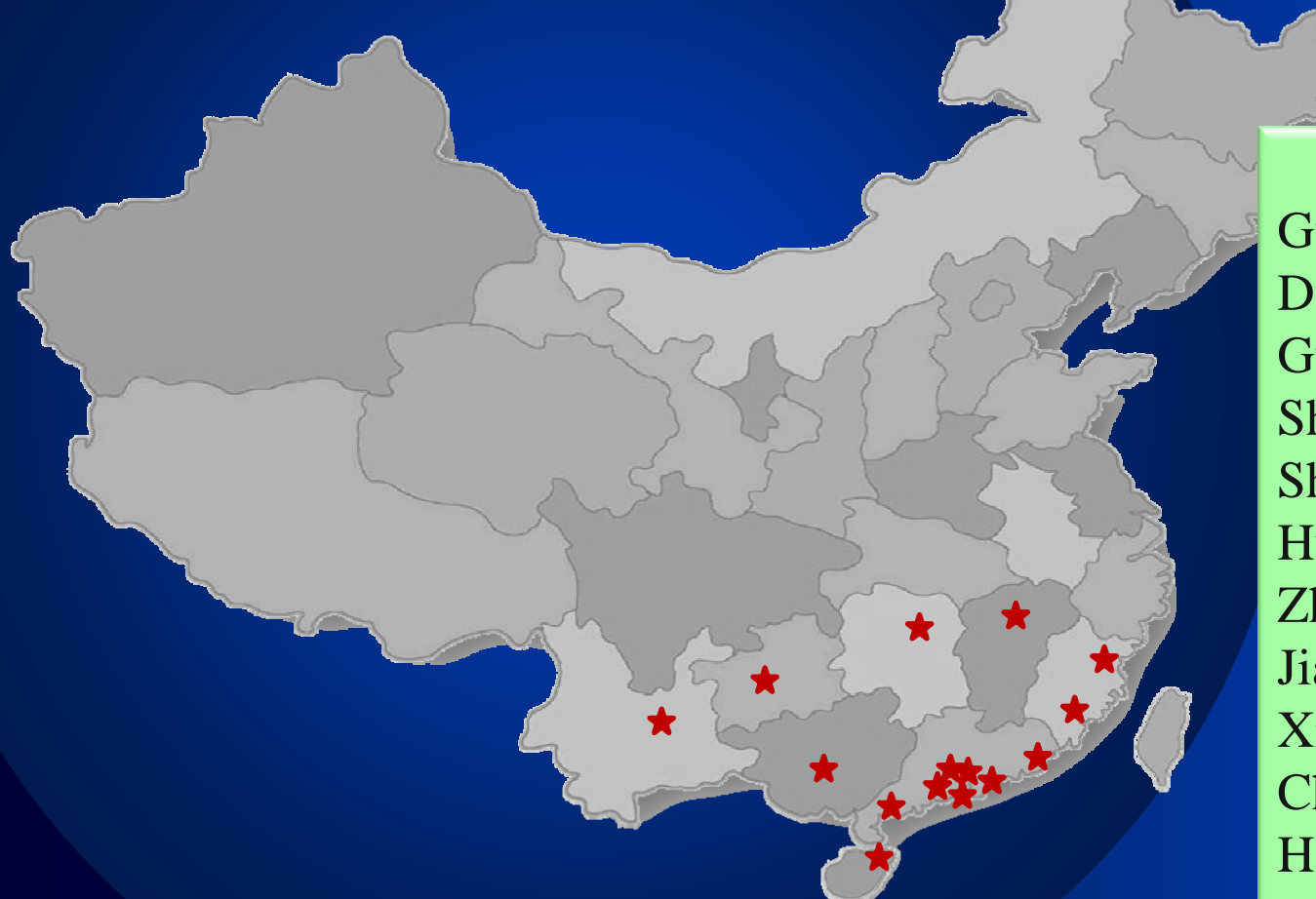
Shanghai, Nanjing,
Hangzhou,
Ningbo, Wuhan,
Chongqing,
Chengdu, Xining,
Lasa, Xi'an,
Zhengzhou, Hefei



II. Organizational Structure



Jurisdiction of Huangpu Parameter Subcenter



Guangdong
Dvision,
Guangzhou,
Shenzhen, Gongbei,
Shantou,
Huangpu,
Zhanjiang,
Jiangmen, Fuzhou,
Xiamen, Kunming,
Changsha, Guiyang,
Haikou, Nanchang,
Nanning



China Customs Risk Management



- 1 Background
- 2 Organizational Structure
- 3 Operational Model
- 4 Application System
- 5 International Exchanges



III. Operational Model



Combination of centralized and decentralized operation

- ❑ **Centralized Operation Platform**
- ❑ **Unified Systematic Loading**
- ❑ **Separated Monitoring and Analysis**
- ❑ **Direct on-site Effect**

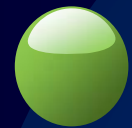


III. Operational Model





III. Operational Model



Risk Information Collection is the foudation.

- Accurate**
- Complete**
- Timely**





III. Operational Model



Risk Information Collection is the foudation.

□ Objective: Information Platform

□ Subjective: Institutional Guarantee





III. Operational Model



Information Module of Risk Management Platform

海关风险信息与处置管理系统-当前用户(翟炜宇) - Windows Internet Explorer

http://10.62.5.15/CustomsRisk0AWebApp/CustomsRisk0AFortal/Default.aspx?t=bbACXTc3aZfx%2E2ou74Utdp8vc

文件(F) 编辑(E) 查看(V) 收藏夹(A) 工具(T) 帮助(H)

海关风险信息与处置管理系统-当前用户(翟炜宇)

直属海关风险管理平台 风险信息与处置管理

分析监控结果展示 综合数据分析监控

全文检索 全部应用 工作台 退出

您好, 翟炜宇!

供业务现场阅 状态: 未处理 处理夹: 现场贸易管制 0条 载体拟稿 高级查询 暂缓处理 刷新

待办事项(1)
待阅事项
已办事项

风险信息采编列表 总记录数483 20/页 第1页/共25页 << 上一页 下一页 >> 1 跳转到

编号	标题	信息类型	录入人	录入部门	报送时间	状态
XQ2200201004280222	提请关注税号为90289090项下商品归类风险	查发情事(货运通关)	陈跃进	稽查科	2010-04-28 13:52	未处理
XD2200201004280341	提请关注“似砖非砖”货物的漏证监管风险	风险动态信息	陈祖勤	稽查科	2010-04-28 13:39	未处理
XD2200201004280340	警惕出口聚丙烯购物袋存在归类	风险动态信息	李时音	稽查科	2010-04-28 13:27	未处理
XD2200201004280339	提请关注出口砂光机存在归类, 骗退税风险	风险动态信息	李时音	稽查科	2010-04-28 13:21	未处理
XD2200201004280337	建议加强对加工贸易不作价设备备案、进口通关环节审核力度	风险动态信息	乐莹	风险管理科	2010-04-28 12:16	未处理
XD2200201004280338	提请关注深加工结转中结转数据未对碰	风险动态	乐莹	风险管理科	2010-04-28	未处

开始 海关风险信息与处... 上海海关 - Windo... 新建 Microsoft W... 13:58



III. Operational Model



● Risk Analysis is the core.

- Give full play to the professional strength and resource strength of departments at various levels**
- Establish an effective working mechanism of strength supplementation, coordination and interaction.**





III. Operational Model



Risk Analysis is the core.

Major methods:

- Regressive analysis
- Dynamic focus
- Horizontal analogy
- Probability evaluation





III. Operational Model



- Risk Analysis is the core.

Analysis results:

1
Analysis Report
For decision-making

2
Warning
For functional management

3
Handling Order
For customs monitoring



III. Operational Model



Risk Analysis is the core.

Targets of Risk Analysis

Taxation risk

Anti-terrorist, security risk

IPR

Trade protection



III. Operational Model



Risk handling is the ending point.

Handling Principle:

Classified handling

Minimum cost

Minimum intervention

Dynamic management





III. Operational Model



Risk handling is the ending point.

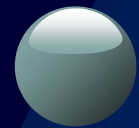
Way of Handling:

**Track and monitor, warning,
control, inspection, policy
response**





III. Operational Model



Performance feedbacks

- Accurate classification

- Effective Handling

- Scientific human resource allocation





China Customs Risk Management



- 1 Background
- 2 Organizational Structure
- 3 Operational Model
- 4 Application System
- 5 International Exchanges



IV. Application System



Information Module of Risk Management Platform

海关风险信息与处置管理系统-当前用户(翟炜宇) - Windows Internet Explorer

http://10.62.5.15/CustomsRisk0AWebApp/CustomsRisk0AFortal/Default.aspx?t=bhACXTc3aZfx%2E2ou74Utdp8vc

直属海关风险管理平台 风险信息与处置管理

分析监控结果展示 综合数据分析监控

全文检索 全部应用 工作台 退出

您好, 翟炜宇!

供业务现场阅 状态: 未处理 处理夹: 现场贸易管制 0条 载体拟稿 高级查询 暂缓处理 刷新

风险信息采编列表 总记录数483 20/页 第1页/共25页 << 上一页 下一页 >> 1 跳转到

编号	标题	信息类型	录入人	录入部门	报送时间	状态
XQ2200201004280222	提请关注税号为90289090项下商品归类风险	查发情事 (货运通关)	陈跃进	稽查科	2010-04-28 13:52	未处理
XD2200201004280341	提请关注“似砖非砖”货物的漏证监管风险	风险动态信息	陈祖勤	稽查科	2010-04-28 13:39	未处理
XD2200201004280340	警惕出口聚丙烯购物袋存在归类	风险动态信息	李时音	稽查科	2010-04-28 13:27	未处理
XD2200201004280339	提请关注出口砂光机存在归类, 骗退税风险	风险动态信息	李时音	稽查科	2010-04-28 13:21	未处理
XD2200201004280337	建议加强对加工贸易不作价设备备案、进口通关环节审核力度	风险动态信息	乐莹	风险管理科	2010-04-28 12:16	未处理
XD2200201004280338	提请关注深加工结转中结转数据未对碰	风险动态信息	乐莹	风险管理科	2010-04-28	未处理



IV. Application System



Information Module of Risk Management Platform

中国海关风险信息管理平台 - Windows Internet Explorer

http://10.82.5.31/risk/Frame_all.asp

文件(F) 编辑(E) 查看(V) 收藏夹(A) 工具(T) 帮助(H)

中国海关风险信息管... x 中国海关风险信息管理平台

当前安全设置会使计算机有风险。请单击这里更改安全设置...

中国海关风险信息管理平台 RISK MANAGEMENT PLATFORM

上海海关 | 张一飞 您好! 今天是2009年6月3日

信息管理 | 数据应用

快速查询 | 企业备案资料 | 请输入10位企业编码 | [常用代码查询] | 平台指标集

风险管理平台

- 图表浏览
- 管理对象查询
- 业务运行监控
- 专项业务数据分析
- 风险控制监控与绩效评价
- 综合业务数据查询
- 风险信息提示
- 安全管理
- 数据质量监控
- 系统管理

业务概况 | 贸易概况 | 上海海关

注册企业数	0家	内销补税额	0元
进口报关单数	0票	审批减免税款	0元
出口报关单数	0票	估价补税	0元
进口总值	0美元	备案合同数	0份
出口总值	0美元	结案合同数	0份
进口货运量	0公斤	下达预定式指令数	0条
出口货运量	0公斤	下达预警式指令数	0条
进口查验报关单数	0票	下达即决式指令数	0条
出口查验报关单数	0票	报关单查获数	0票
实征关税	0元	料件备案金额	0美元

当月业务动态

业务排行榜 | 类别: 申报至放行用时 | 上海海关 | 进口 | 前五名 | 降序

序号 | 企业名称 | 申报至放行用时(小时)

完成 | 可信站点 | 100% | 15:55



IV. Application System



Law Execution Evaluation System

海关执法评估系统 - Windows Internet Explorer

http://10.99.35.107:8080/zfpg/index.jsp

文件(F) 编辑(E) 查看(V) 收藏夹(A) 工具(T) 帮助(H)

海关执法评估系统

海关总署执法评估系统

首页 决策导航 业务评估

公告: (2009-08)

综合要点

请选择类别: 全部

2009年上半年上海海关执法评估报告	2009-09-01	上海海关
2009年上半年武汉海关执法评估综合报告	2009-08-14	武汉海关
2009年上半年天津海关执法评估综合报告	2009-08-14	天津海关
2009年上半年石家庄海关执法评估报告	2009-08-14	石家庄海关

专题要点

请选择类别: 全部

2009年上半年广州海关税收形势分析及预测	2009-07-31	广州海关
加工贸易内销征税增长, 隐形风险不容忽视	2009-07-31	青岛海关
执法评估二期系统加工贸易评估模块指标研讨	2009-07-31	青岛海关
提高增值税率后煤炭进口税收风险加大	2009-07-31	宁波海关

指标数据时间: 2009年10月

关别	总体	比上期
北京	●	▲
天津	●	▲
石家庄	●	▲
太原	●	▼
满洲里	●	▲
呼和浩特	●	▲
沈阳	●	▼
大连	●	▲
长春	●	▲
哈尔滨	●	▲
上海	●	▲
南京	●	▲

Internet 100%



IV. Application System



Selection and Examination Management System

The screenshot displays the H2000 Customs Management System (上海海关) interface. The main window is titled "H2000海关管理系统(上海海关) [接单管理]". It features a sidebar with various management functions such as "企业管理", "现场业务综合处理", "接单管理", "税务打印", "税务管理", "现场查...", "选择查...", "查操作...", and "保全保...".

The main content area shows a "接单管理" (Order Management) screen with a "接单" (Receive Order) button and a "接单号输入" (Order Number Input) field. Below this, there are several data fields for order details, including "海关编号", "申报号", "备案号", "申报日期", "申报单位", "经营单位", "发货单位", "许可证号", "结汇证号", "合同号", "随附单证", and "监管代码".

A "高风险信息显示" (High Risk Information Display) dialog box is open, showing a "分拣结果提示信息" (Sorting Result Information) window. The message reads: "非集中分拣信息提示: 定器具为易逃证商品" (Non-concentrated sorting information提示: Fixed tools are easy-to-escape certificate goods). The main text in the dialog is "高风险重点审核" (High Risk Focus Audit) in large red characters, with a "确定" (Confirm) button below it.

At the bottom of the main window, there is a table with columns "商品序号" and "合同项", and a "高风险重点审核" (High Risk Focus Audit) status indicator.

The Windows taskbar at the bottom shows the Start button, the application name "H2000海关管理系统...", and the system clock "10:00".



China Customs Risk Management



- 1 Background
- 2 Organizational Structure
- 3 Operational Model
- 4 Application System
- 5 International Exchanges



V. International Exchanges



- ❑ **Concept: Risk Awareness**
- ❑ **Method: Optimization Plan**
- ❑ **Technology: System Assistance**
- ❑ **Means: Way of Handling**





V. International Exchanges



USA, the Netherlands, Japan, South Korea...

**GAC: Exchanges on Risk Management
under WCO**

**Regional Customs: Inter-customs
exchanges**





V. International Exchanges



Similarities:

- Emphasize Risk Management
- Rely on system application
- Advocate trade facilitation





V. International Exchanges



Differences:

□ Different development stages

- **Western countries: consolidation of customs functions;
Centralized operation of risk management**
- **Mainland customs: expansion of customs functions
Centralized+decentraized risk management**





V. International Exchanges



Differences:

□ Different administrative structure

➤ **Western countries: flattened administrative system**

Direct effect of risk management

➤ **Mainland customs: hierarchical administrative system**

Scattered risk management at multiple levels.





V. International Exchanges



Differences:

- Different external environment
 - Western countries: sound credit and trust system
Convenient law conformance
 - Mainland customs: flawed credit and trust system
Inadequate business information





谢谢!