

How China Customs Manage Risks



Sept. 21, 2011



China Customs Risk Management



- 1 Background
- 2 Organizational Structure
- 3 Operational Model
- 4 Application System
- 5 Practices and Explorations



I. Background





I. Background





I. Background





I. Background



Business Characteristics of Shanghai Customs

- Comprehensive
- Multiple sites and long lines
- Huge business volume

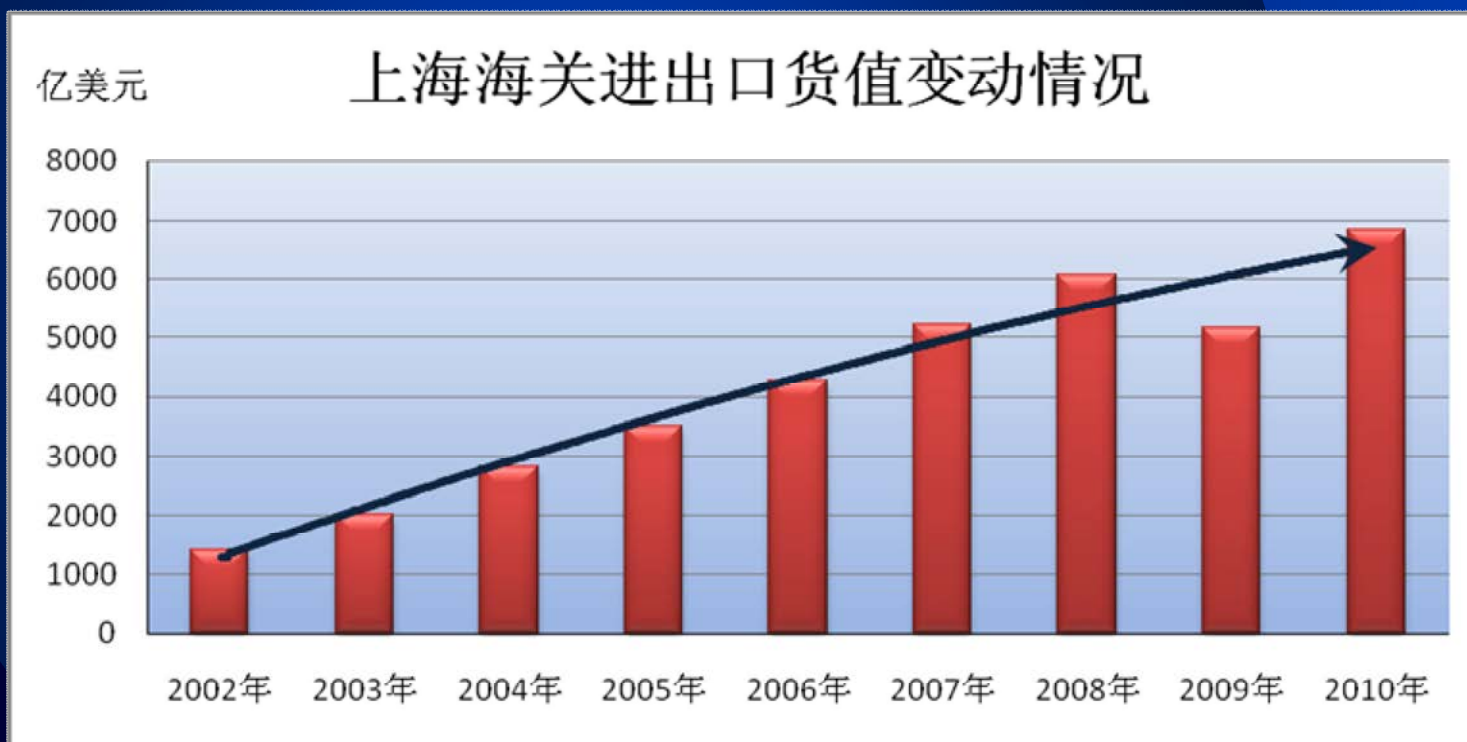


I. Background



Business Characteristics of Shanghai Customs

Variations in Import/Export Value - Shanghai Customs



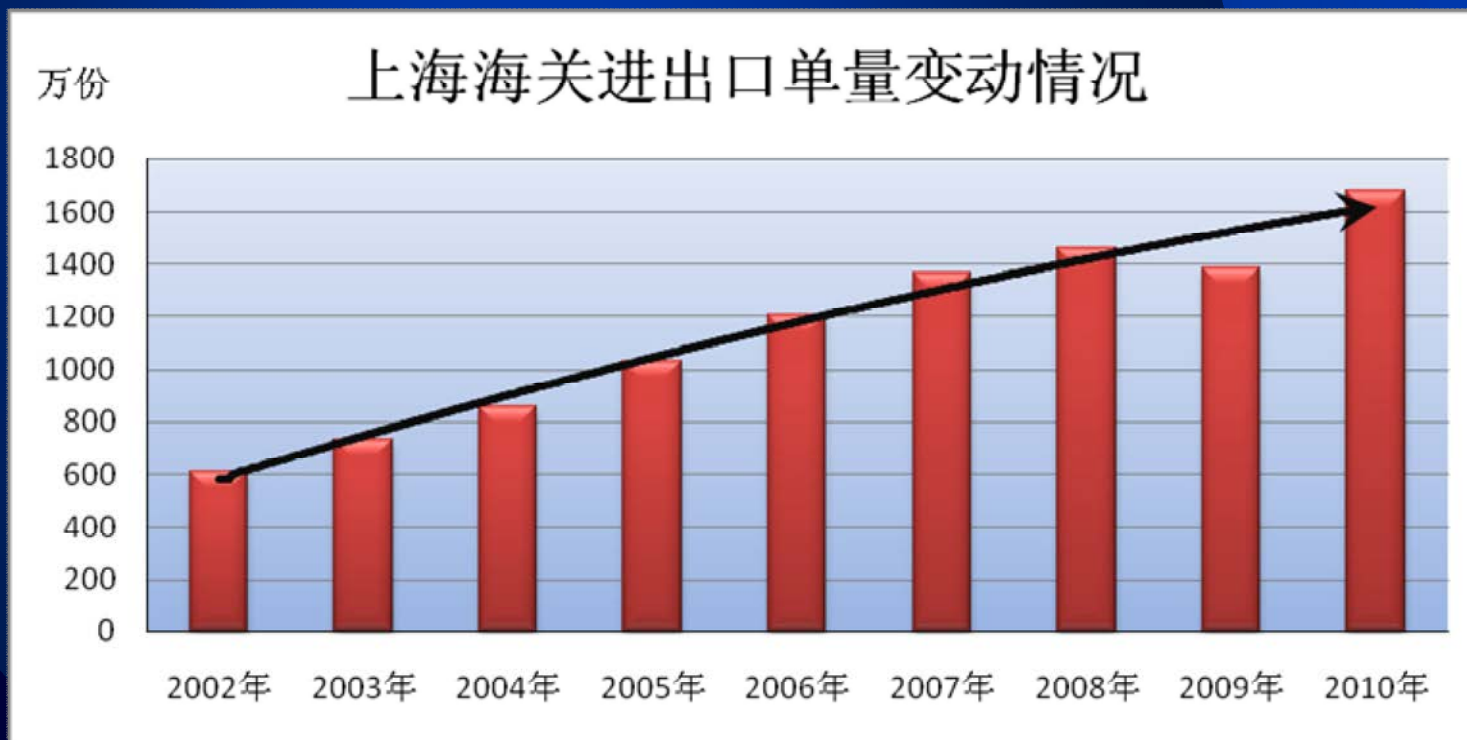


I. Background



Business Characteristics of Shanghai Customs

Variations in the Number of Import/Export Declaration Forms - Shanghai Customs





I. Background



Business Characteristics of Shanghai Customs

Variations in the Number of Containers - Shanghai Customs





I. Background



Business Characteristics of Shanghai Customs

Variations in the Revenue Collected - Shanghai Customs





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II. Organizational Structure



Development of Risk Management





II. Organizational Structure



Department of Customs Audit and Risk Management of GACC

Sub-centers of Risk Parameter Maintenance and Management
(Qingdao, Shanghai, Huangpu)

Risk Management Divisions of Regional Customs

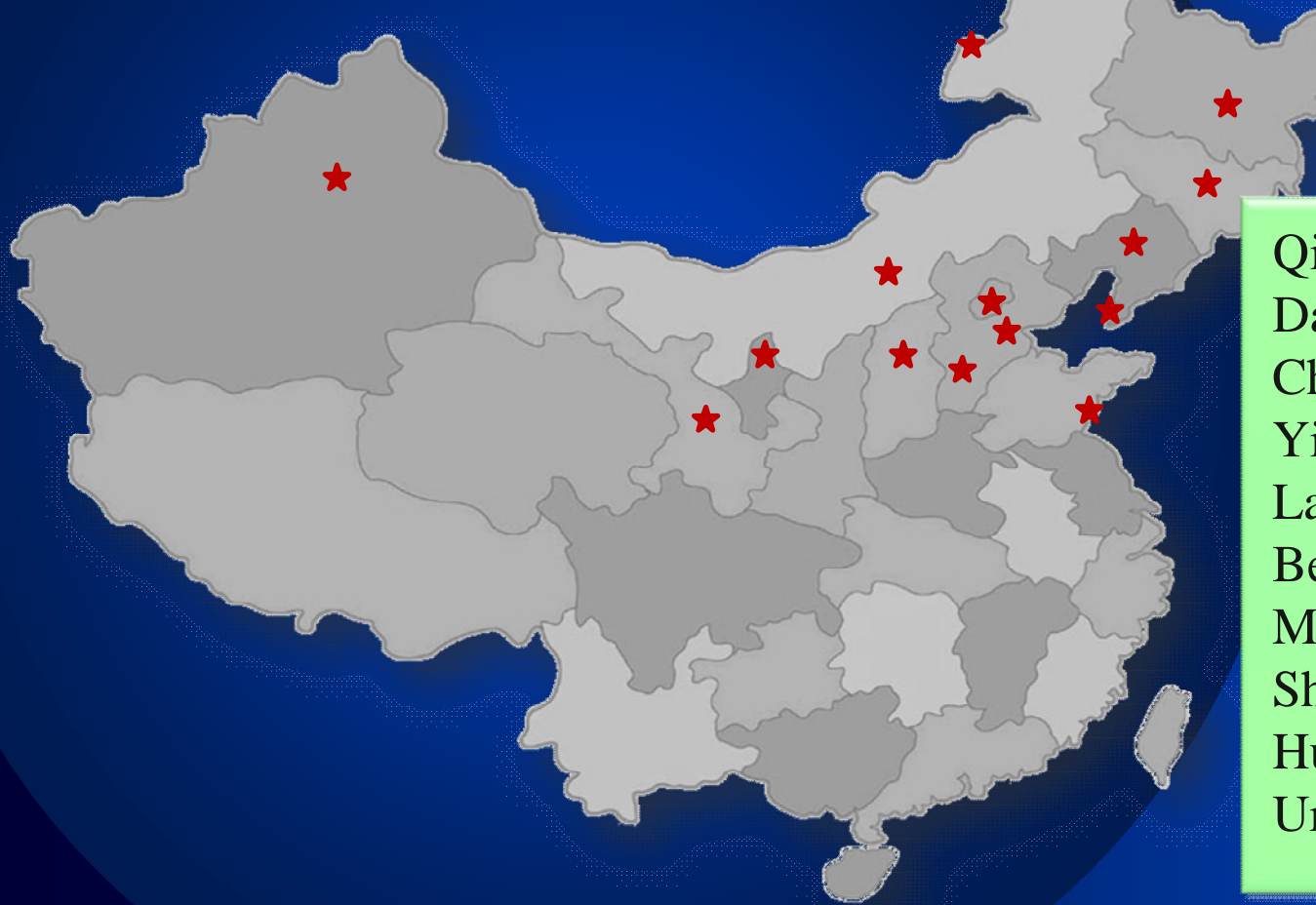
Risk Management Posts of Local Customs Houses



II. Organizational Structure



Jurisdiction of Qingdao Parameter Sub-center



Qingdao, Tianjin,
Dalian, Shenyang,
Changchun,
Yinchuan,
Lanzhou, Taiyuan,
Beijing, Harbin,
Manchuria ,
Shijiazhuang.
Huhhot.
Urumuqi



II. Organizational Structure



Jurisdiction of Shanghai Parameter Subcenter



Shanghai, Nanjing,
Hangzhou,
Ningbo, Wuhan,
Chongqing,
Chengdu, Xining,
Lasa, Xi'an,
Zhengzhou, Hefei



II. Organizational Structure



Jurisdiction of Huangpu Parameter Subcenter



Guangdong, Sub-Administration,
Guangzhou, Shenzhen, Gongbei,
Shantou, Huangpu, Zhanjiang,
Jiangmen, Fuzhou, Xiamen, Kunming,
Changsha, Guiyang, Haikou, Nanchang,
Nanning



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III. Operational Model



Combination of centralized and decentralized operations

- ❑ Centralized Operation Platform
- ❑ Unified System Loading
- ❑ Separated Monitoring and Analysis
- ❑ Direct Instructions for Operational

Sites



III. Operational Model





III. Operational Model



Risk Information Collection is the foundation.

- ☐ **Accurate**
- ☐ **Complete**
- ☐ **Timely**





III. Operational Model



 **Risk Information Collection is the foundation.**

☐ **Objective side: Information Platform**

☐ **Subjective side: Institutional Guarantee**





III. Operational Model



 Risk Information Collection is the foundation.

Collection on one's own initiative.
Pooled into the system.

Automatic Processing. On-demand
customization.



III. Operational Model



Information Module of Risk Management Platform

海关风险信息与处置管理系统-当前用户(霍炜宇) - Windows Internet Explorer

http://10.62.5.15/CustomsRiskOAWebApp/CustomsRiskOAPortal/Default.aspx?t=bbACXTc3aZfxX2f2ou74Utdp8vc

文件(F) 编辑(E) 查看(V) 收藏夹(A) 工具(T) 帮助(H)

海关风险信息与处置管理系统-当前用户(霍炜宇)

直属海天风险管理平台 风险信息与处置管理

分析监控结果展示 符合数据风险分析

全文检索 全部应用

工作台 退出

您好, 霍炜宇!

供业务现场阅 状态: 未处理 处理夹: 现场贸易管制 0条

载体拟稿 高级查询 暂缓处理 刷新

待办事项(1)
待阅事项
已办事项

风险信息管理:

- 全国信息查询
- 关区信息查询
- 载体查询
- 信息处置
- 信息拟稿
- 载体编辑
- 信息处置跟踪
- 信息工作统计
- 处置单管理**
- 处置单录入

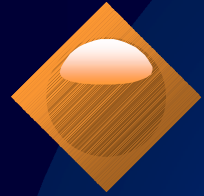
风险信息采编列表 总记录数483 20/页 第1页/共25页 << 上一页 下一页 >> 1 跳转到

编号	标题	信息类型	录入人	录入部门	报送时间	状态
XQ2200201004280222	提请关注税号为90289090项下商品归类风险	查发情事 (货运通关)	陈跃进	稽查科	2010-04-28 13:52	未处理
XD2200201004280341	提请关注“似砖非砖”货物的漏证监管风险	风险动态信息	陈祖勤	稽查科	2010-04-28 13:39	未处理
XD2200201004280340	警惕出口聚丙烯购物袋存在归类	风险动态信息	李时音	稽查科	2010-04-28 13:27	未处理
XD2200201004280339	提请关注出口砂光机存在归类, 骗退税风险	风险动态信息	李时音	稽查科	2010-04-28 13:21	未处理
XD2200201004280337	建议加强对加工贸易不作价设备备案、进口通关环节审核力度	风险动态信息	乐莹	风险管理科	2010-04-28 12:16	未处理
XD2200201004280338	提请关注深加工结转中结转数据未对碰	风险动态	乐莹	风险管理科	2010-04-28	未处理

开始 上海海关 - Windo... 新建 Microsoft W... 13:58



III. Operational Model



Risk Analysis is the core.

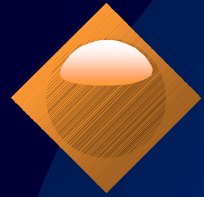
Major methods :

- ☐ Regressive analysis
- ☐ Dynamic focus
- ☐ Horizontal analogy
- ☐ Probability evaluation



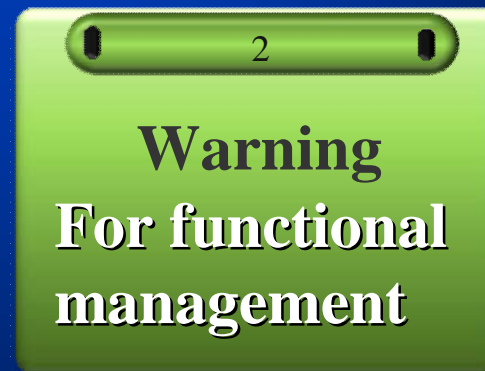


III. Operational Model



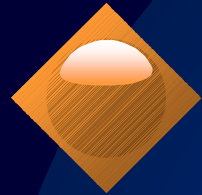
Risk Analysis is the core.

Analysis results :





III. Operational Model



Risk Analysis is the core.

Targets of Risk Analysis

Taxation risks

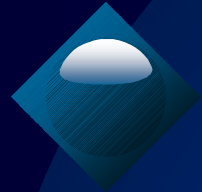
**Anti-terrorism: security
risks**

IPR risks

Drug trafficking risks



III. Operational Model



Risk handling is the purpose of risk analysis.

Principles :

Categorized handling

Minimum costs

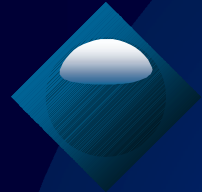
Minimum intervention

Dynamic management





III. Operational Model



Risk handling is the purpose of risk handling.

Ways of Handling :

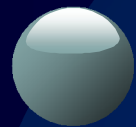
Set parameters. Ask for inspection of goods.

Special operations. Policy recommendations.





III. Operational Model

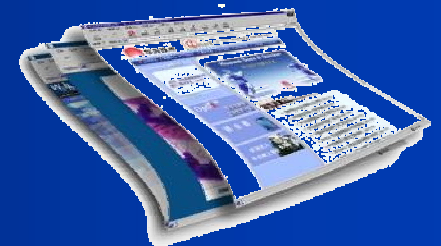


**Performance feedback: to
help summarize risks**

☐ **Accurate rating**

☐ **Effective Handling**

☐ **Scientific allocation of human
resources**





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IV. Application System



Information Module of Risk Management Platform

海关风险信息与处置管理系统-当前用户(钱星) - Windows Internet Explorer

http://10.82.5.15/CustomRiskApp/CustomRiskApp/Default.aspx?tid=2&ic=55vQL588vD4d4JCzspEEniD0dLXtQF0sTZB4CY3M+dF1J51bkon4ESq1tM2s2jwkdXV6S8iaB7qEgTXqF8d09

文件(F) 编辑(E) 查看(V) 收藏夹(A) 工具(T) 帮助(H)

海关风险信息与处置管理系统-当前用户(钱星)

当前安全设置会使计算机有风险。请单击这里更改安全设置...

直属海关风险管理平台 风险信息与处置管理

系统版本: 1.6 全文检索 全部应用 工作区 退出

您好, 钱星!

- 待办事项
- 待阅事项
- 已办事项

风险信息管理

- 全国信息查询
- 关区信息查询
- 信息招稿

处置单管理

- 处置单查询
- 处置实效补录
- 处置期限修改
- 查看处置结果状态

系统管理

- 权限管理
- 通用授权管理

待办事项

共有 0 项待办事项

待阅事项

共有 3 项待阅事项

姓名	风险信息	来源	时间
张宝鹏	风险预警提示(第二十七期) -- "新手走私毒品风险专刊"	风险信息	2011-05-04 15:53
张宝鹏	风险预警提示(第二十六期) -- "船舶飞机走私毒品风险专刊"	风险信息	2011-04-25 10:28
翟玮宇	风险预警提示(第二十五期) -- "枪支弹药走私风险专刊"	风险信息	2011-04-15 16:16

更多>>

完成

开始

Internet Explorer

Microsoft Office

2011关区统计工

Microsoft Office

Windows Explorer

中国海关风险管

1.jpg - 画图

16:05



IV. Application System



Data Module of Risk Management Platform

直属海关风险管理平台-风险管理综合数据库及应用 - Windows Internet Explorer

文件(F) 编辑(E) 查看(V) 收藏夹(A) 工具(T) 帮助(H)

直属海关风险管理平台-风险管理综合数据库及...

当前安全设置会使计算机有风险。请单击这里更改安全设置...

直属海关风险管理平台

—— 风险管理综合数据库及应用

风险分析监控结果展示 风险信息与管理

您好, 税关[监控评估科], 欢迎使用直属海关风险管理平台。

常用单证查询: 报关单 [查询] 常用代码查询: [选择常用代码] 自定义页面 返回首页

总体指标展示

指标编号	指标名称	企业数	手册数	日期
0141	长期未出口手册涉及企业数	1282个		日指标, 2011-04-26
0138	长期未进口手册数		726个	日指标, 2011-04-26
0140	长期未出口手册数		3182个	日指标, 2011-04-26
0137	长期未进出口手册涉及企业数	329个		日指标, 2011-04-26
0139	长期未进口手册涉及企业数	334个		日指标, 2011-04-26
0131	超期未报核手册涉及企业数	23个		日指标, 2011-04-26
0011	税单开单金额		实征税款: 0元	日指标, 2011-04-09
0135	长期未进出口手册数		716个	日指标, 2011-04-26

专项指标展示

数据执行情况

服务器名称	数据库类型	数据最后处理时间	最后数据时间
RISKV3DB	事实表数据库	2011-4-30 12:18:35	2011-4-26 0 00:00
RISKWEB	多维数据源	2011-5-15 13:00:00	2011-4-26 0 00:00

公告

常用链接

总署稽查司
广东分署
青岛海关
北京海关

服务器性能

Loading...

刷新-查看服务器性能

常用代码查询

[选择参数]

常用单证查询

报关单 [查询]

完成

开始 6 Internet Ex... 4 Microsoft O... 2011关区统计工... 3 Microsoft O... 4 Windows Exp... 中国海关风险管... 1. hsp - 前... 16.05



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V. Practices and Explorations



❖ Set risk parameters before clearance :

With enterprise compliance management at the core, set risk parameters in light of various risk elements, such as enterprises' categories, commodity classification, value, licenses and certificates, countries, routes, logistic information.



V. Practices and Explorations



❖ Risk Ratings for Clearance :

Low risks: fast release

Medium risks: document inspection, but not inspecting the goods physically

High risks: Targeted inspection and control of the goods



V. Practices and Explorations



❖ **Follow-up management after clearance:**

Targeted Customs Audit

Put the case on file for anti-smuggling investigation



Thanks

谢谢!