

COMPLIANCE MEASUREMENT

MALCOLM LIEBRECHT, ADB Consultant

The views expressed in this paper are the views of the authors and do not necessarily reflect the views or policies of the Asian Development Bank (ADB), or its Board of Directors, or the governments they represent. ADB does not guarantee the accuracy of the data included in this paper and accepts no responsibility for any consequences of their use. Terminology used may not necessarily be consistent with ADB official terms.

COMPLIANCE MEASUREMENT

**10% OF TRADERS ACCOUNT FOR 80% OF
GOODS IMPORTED**

**FOCUS ON TOP 5% TO 10% OF IMPORTERS,
MANUFACTURERS, EXPORTERS AND
COMMODITIES**

- **DOCUMENTARY**
- **PROCEDURAL**
- **REVENUE**
- **TRANSPORT**
- **SPECIFIC CONCERNS**

DOCUMENTARY

- 1. CORRECT TARIFF CLASSIFICATION BY IMPORTERS**
- 2. CORRECT VALUATION BY IMPORTERS**
- 3. COUNTRY OF ORIGIN**

PROCEDURAL

- 1. IMPORT AND EXPORT (FROM THE GOODS DECLARATION THROUGH TO REVENUE COLLECTION)**
- 2. TRANSIT OPERATIONS**
- 3. WAREHOUSING, FREE TRADE ZONES, PROCESSING**

REVENUE

PROMPT AND ACCURATE REVENUE PAYMENTS

PROVISION OF ADEQUATE SECURITY

TRANSPORT

ACCURATE REPORTING OF THE QUANTITY OF THE GOODS

ACCURATE DESCRIPTION OF THE GOODS SHOWN ON THE MANIFEST AND/OR TRANSPORT DOCUMENT

ACCURACY OF CONTAINER QUANTITIES AND NUMBERS

TRANSPORTER COMPLIANCE

SPECIFIC CONCERNS

- ➡ **COMPLIANCE BY TARIFF NUMBER OR RANGE OF TARIFF NUMBERS**
- ➡ **PUBLIC HEALTH AND SAFETY ISSUES**
- ➡ **INTELLECTUAL PROPERTY RIGHTS AND COPYRIGHT ISSUES**

SPECIFIC CONCERNS

- ➡ COMPLIANCE WITH TRADE AGREEMENTS**
- ➡ GOODS PROPERLY MARKED WITH COUNTRY OF ORIGIN**
- ➡ HIGH REVENUE COMMODITIES**
- ➡ SELECTED TRADERS**

COMPLIANCE VERIFICATION

- **GOODS DECLARATION COMPLIANCE**
- **TRADER COMPLIANCE**
- **TRANSIT COMPLIANCE**
- **FREE ZONE OR WAREHOUSE COMPLIANCE**
- **MANIFEST AND TRANSPORT DOCUMENT COMPLIANCE**
- **TRANSPORTER COMPLIANCE**

GOODS DECLARATION COMPLIANCE

- 1. DOES THE DOCUMENTATION SUPPORT AN ACCURATE GOODS DECLARATION**
- 2. ARE THE QUANTITIES DECLARED CORRECT AS FOUND IN THE CONSIGNMENT**
- 3. DOES THE MARKING ON THE GOODS MATCH THE DECLARED COUNTRY OF ORIGIN**
- 4. ARE THE GOODS IN ACCORDANCE WITH THE DECLARED DESCRIPTION**

CUSTOMS CONTROL PROGRAMME

- ◆ **DEFINE ANY REVENUE GAP**
- ◆ **PREVENT WIDESPREAD COMMERCIAL FRAUD**
- ◆ **ASSESS PERFORMANCE BY MAJOR KEY INDUSTRIES**
- ◆ **ASSESS PERFORMANCE BY MAJOR IMPORTERS AND EXPORTERS**